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PATENT

# **Technology Center 2600**

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Peterka et al.

Application No.: 09/831,073

Commissioner for Patents

Washington, D.C.

Filed: May 3, 2001

For: DIGITAL TELEVISION RECEIVER WITH APPLICATION PROGRAMMING

INTERFACE FOR USER MANAGEMENT

20231

**CERTIFICATE OF MAILING** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Commissioner for Patepts Washington, D.C. 20231 gn July 5, 2001.

By: WE Propried

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with 37 C.F.R. §1.56, Applicant wishes to call the attention of the Examiner to the following reference:

#### U.S. PATENTS:

Patent No.	<u>Patentee</u>	Date of Issue			
5,815,678	Hoffman et al.	al September 29, 1998 al June 16, 1998 al. May 26, 1998 April 21, 1998			
5,768,539	Metz, et al	June 16, 1998			
5,758,257	Herz, et al.	May 26, 1998			
5,742,772	Sreenan	April 21, 1998			
5,717,691	Dighe, et al.	February 10, 1998			

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# FOREIGN PATENT DOCUMENTS:

Country	No.	Date of Publication			
EPO	EP 0 944 257 A1	September 22, 1999			
EPO	EP 0 854 645 A2	July 22, 1998			
EPO	EP 0 817 041 A2	January 7, 1998			
EPO	EP 0 813 147 A2	December 17, 1997			
Germany	DE 196 50 515 A1	June 25, 1998			

## PUBLICATION(S):

- 1. Evain, J., "The Multimedia Home Platform", EBU Review Technical, BE, European Broadcasting Union, Brussels, No. 275,
  March 21, 1998 (7 Pages).
- 2. Rath, K., et al., "Set-Top Box Control Software: A Key Component in Digital Video", Philips Journal of Research, Amsterdam, Vol. 50, no. 1 / 02 July 1996 (15 Pages).
- 3. Wall, W.E., "An Advanced Video Platform for the Cable Industry", Fourth International Workshop on Community Network Processing, Georgia, USA, September 11, 1997 (3 Pages).

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4. Hartwig, S. "Softwarearchitekturen Für Interaktive Digitale

Decoder", Fernseh Und Kinotechnik, Vol. 50. No. 3, Germany, March

1, 1996 (11 Pages).

The Hartwig article was identified as category A background information in an International Search Report received in connection with a related PCT application. The figures in Hartwig are labeled in English.

An English language Abstract is provided for German patent no. DE 196 50 515 A1.

Copies of the above-listed references are enclosed, together with a completed form PTO-1449.

This Information Disclosure Statement is being filed within three months of the filing date of the subject patent application, and therefore no fee is due.

Consideration of these references by the Examiner is respectfully requested.

Respectfully submitted,

Date: July 5, 2001

ATTORNEY DOCKET NO.: GIC-560

Barry R. Lipsitz Attorney for Applicant(s) Registration No. 28,637 755 Main Street, Bldg. 8

Monroe, CT 06468 (203) 459-0200

INFORMATION DISCOMPRISON TEMENT BY APPLICANT

FORM PTO-1449

ATTY. DOCKET NO.: GIC-560

**SERIAL NO.:** 09/831,073

APPLICANT(S): Peterka, et al.

FILING DATE: May 3, 2001

GROUP:

				FILING DATE: May 3, 2	001	GROUP:			
			U.S. PATENT DOC	UMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS RE		$D^{FILI}_{DA}$	NG TE	
	AA	5,815,678	Sept. 29, 1998	Hoffman et al.	JU	1 2 20	01		
	AB	5,768,539	June 16, 1998	Metz et al.	Technol	ogy Center	2600		
	AC	5,758,257	May 26, 1998	Herz et al.		l de la contra	2000		
	AD	5,742,772	April 21, 1998	Sreenan					
	AE	5,717,691	Feb. 10, 1998	Dighe et al.					
	I	T	FOREIGN PATENT D	OCUMENTS	T		т		
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRAN LATIO YES	ON .	
	AF	EP 0 944 257 A1	Sept. 22, 1999	EPO					
	AG	EP 0 854 645 A2	July 22, 1998	EPO					
	AH	EP 0 817 041 A2	Jan. 7, 1998	EPO					
	AI	EP 0 813 147 A2	Dec. 17, 1997	EPO					
	AJ	DE 196 50 515 A1	June 25, 1998	Germany					
7									
• •									
	ı	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
	AK	Evain, J., "The Multimedia Home Platform", EBU Review - Technical, BE, European Broadcasting Union, Brussels, No. 275, March 21, 1998 (7 Pages).							
	AL	Rath, K., et al., "Set-Top Box Control Software: A Key Component in Digital Video", Philips Journal of Research, Amsterdam, Vol. 50, no. 1 / 02 July 1996 (15 pages).							
	AM	Wall, W.E., "An Advanced Video Platform for the Cable Industry", Fourth International Workshop on Community Network Processing, Georgia, USA, September 11, 1997 (3 Pages).							
	AN	Hartwig, S. "Softwarearchitekturen Für Interaktive Digitale Decoder", Fernseh Und Kinotechnik, Vol. 50. No. 3, Germany, March 1, 1996 (11 Pages).							
EXAMINER				DATE CONSIDERED					

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.